

CURRICULUM VITAE
ALLEN W. BATHKE, JR.

PERSONAL INFORMATION

Business Address: Rovetta Business Annex
P.O. Box 3061110
Florida State University
Tallahassee, Florida 32306-1110
e-mail: abathke@business.fsu.edu

PROFESSIONAL BACKGROUND

1987 - Present Associate Professor of Accounting, The Florida State University
1985 - 1987 Assistant Professor of Accounting, The Florida State University
1984 - 1985 Visiting Assistant Professor of Accounting, The Florida State University
1982 - 1985 Assistant Professor of Accounting, Indiana University,
(on leave - visiting at The Florida State University 1984 – 1985 academic
year)
1977 - 1981 Teaching Associate, The Florida State University
1976 - 1977 Teaching Associate, University of Wisconsin-Milwaukee
1975 - 1976 Research Associate, University of Wisconsin-Milwaukee

ACADEMIC BACKGROUND

Doctor of Business Administration, 1982, The Florida State University
Major: Accounting
Master of Science, 1977, University of Wisconsin-Milwaukee
Major: Accounting
Bachelor of Science, 1971, University of Wisconsin-Milwaukee
Major: Mathematics

HONORS, AWARDS AND GRANTS

2016	Accounting Department Research Grant
2013	College of Business Research Grant
2009	Accounting Department Research Grant
2008-2009	University Teaching Award
2007	Accounting Department Research Grant
2002-2003	University Teaching Award
2000-2001	Outstanding Professor Award for the 2000-2001 academic year – recognized by Beta Alpha Psi Accounting Honorary Fraternity
1996-1997	University Teaching Award
1996-1997	Outstanding Professor Award for the 1996-1997 academic year – recognized by Beta Alpha Psi Accounting Honorary Fraternity
1996-1997	The Florida State University Teaching Incentive Program Award
1994	Outstanding Faculty Member for 1994 - one of about 16 university-wide nominated for the Outstanding Educator Award by the Panhellenic Association and Interfraternity Council
1993-1994	The Florida State University Teaching Incentive Program Award
1993	The Florida State University Faculty Member of the month for January, 1993 - recognized by the Florida State University Gold Key Honor Society
1993	Outstanding Faculty Member for 1993 - one of about 21 university-wide nominated for the Outstanding Educator Award by the Panhellenic Association and the Interfraternity Council
1992-1993	Outstanding Professor Award for the 1992-1993 academic year - recognized by Beta Alpha Psi Accounting Honorary Fraternity
1992	Favorite Professor - one of about 15 university-wide recognized by Alpha Delta Pi Sorority for the Fall Semester, 1992

ALLEN W. BATHKE, JR.

Page 3

1989 College of Business Research Grant

1987 Accounting Department Research Grant

1986 The Florida State University Research Grant

1985 Accounting Department Research Grant

1985 School of Business Research Grant (declined)

1983 School of Business Research Grant

1982 School of Business Research Grant

1980-1981 Florida Institute of Certified Public Accountants Educational Foundation
Graduate Scholarship

1980 Price Waterhouse and Company Doctoral Fellowship

1978-1979 R. Warner Ring Educational Foundation Award

OTHER PROFESSIONAL EXPERIENCE

1999 Faculty Externship with Andersen, LLP

RESEARCH INTERESTS

Financial Reporting
Capital markets
Forecasting
Alternative Income Models

TEACHING INTERESTS

Financial Accounting
Cost Accounting
Accounting Theory
Empirical Accounting Research

PROFESSIONAL ORGANIZATIONS

American Accounting Association
Canadian Academic Accounting Association
Accounting and Finance Association of Australia and New Zealand

RESEARCH IN PROGRESS

Bathke, A. W., Jr., T. W. Mason and R. M. Morton, "Financial Analyst Forecasts for Seasonal Random Walk Firms"

Bathke, A. W., Jr., T.W. Mason and R. M. Morton and M. Notbohm, "Security Market Perceptions of Nonseasonal Firms "

PUBLICATIONS

Journal Articles

Bathke, A. W., Jr., T. W. Mason and R. M. Morton, "Investor Overreaction to Earnings Surprises and Post-Earnings-Announcement Reversals" Forthcoming in *Contemporary Accounting Research*.

Bathke, A. W., Jr., R.M. Morton, M. Notbohm and T. Zhang, "Objective Estimation versus Subjective Perceptions of Earnings Patterns and Post-Earnings-Announcement-Drift " (2014) *Accounting and Finance*.

Lorek, K. S., G. L. Willinger and A. W. Bathke, Jr., "Statistically-Based Quarterly Earnings Expectation Models for Nonseasonal Firms" (2008) *Review of Quantitative Finance and Accounting* 31: pp. 105-119.

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Long-Term Earnings Forecast Models for Nonseasonal Firms" (2006) *The Journal of Business Inquiry*, Volume 5, Issue 1: pp. 39-49.

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "The Security Market's Reaction to Firm's Quarterly Earnings Evidencing Varying Degrees of Autocorrelation" (2006) *Advances in Accounting*, Volume 22, pp. 29-43.

Bathke, A. W. Jr., K. S. Lorek, and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns." (2004) *Journal of Accounting, Auditing & Finance* 19: 463-483.

Baginski, S. P., A. W. Bathke Jr., and J. M. Hassell, "Evidence of the Security Market's Ex Ante Assessment of Differential Management Forecast Accuracy," *Advances in Accounting* (1995), pp. 39-59.

Holland, R. G., K. S. Lorek and A. W. Bathke, Jr., "A Comparative Analysis of Extrapolative and Judgmental Forecasts," *Advances in Accounting* (1992), pp. 279-303.

- Bathke, A. W., Jr., J. M. Hassell and J. Lukawitz, "Relative Accuracy of Quarterly Earnings Forecast Announcements," *Advances in Accounting* (1991), pp. 19-33.
- Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Firm-Size and the Predictive Ability of Quarterly Earnings Data," *The Accounting Review* (January 1989), pp. 49-68.
- Bathke, A. W., Jr., J. M. Hassell and K. S. Lorek, "New Evidence on the Accuracy of Management Forecasts and Time-Series Forecasts of Annual Earnings," *Advances in Accounting* (1989), pp. 67-84.
- Bathke, A. W., Jr., R. L. Rogers and J. J. Stern, "The Security Market Reaction to Tax Legislation as Reflected in Bond Price Adjustments," *The Journal of the American Taxation Association* (Spring 1985), pp. 37-49.
- Bathke, A. W., Jr., and K. S. Lorek, "The Relationship Between Time Series Models and the Security Market's Expectation of Quarterly Earnings," *The Accounting Review* (April 1984), pp. 163-176.
- Lorek, K. S. and A. W. Bathke, Jr., "A Time Series Analysis of Nonseasonal Quarterly Earnings Data," *Journal of Accounting Research* (Spring 1984), pp. 369-379.

Other Publications

- Bathke, A. W., Jr., "The Impact of Financial Leverage on the Association Between Accounting Earnings Numbers and Security Returns," *Proceedings of the Southeast American Accounting Association Meetings* (Spring 1985), pp. 418-422.
- Bathke, A. W., Jr., A Review of the book titled *The Modern Forecaster*, published in *The Journal of Business Forecasting Methods and Systems* (Spring 1985), pp. 28-29.
- Bathke, A. W., Jr., "Capital Structure, Earnings Changes and Stock Price Adjustments," *Proceedings of the Midwest American Accounting Association Meetings* (Spring 1984), pp. 157-166.

Presentations

Bathke, A. W., Jr., T. W. Mason and R.M. Morton, "Post-Earnings Announcement Reversal: the Puzzling Case of Seasonal Random Walk Firms." Presented by T.W. Mason at the American Accounting Association Financial Reporting Section Midyear Meeting (2014) in Houston, Texas.

Bathke, A. W., Jr., T. W. Mason and R.M. Morton, "Market Insights and Market Oversights on Firms Whose Earnings Follow a Seasonal Random Walk Process." Presented by T.W. Mason at the American Accounting Association Annual Meetings (2013) in Anaheim, California.

Bathke, A. W., Jr., R.M. Morton, M. Notbohm and T. Zhang, "Cognitive Bias, Information Environment and Earnings Patterns" presented at the 2012 American Accounting Association Annual Meetings (2012) in Washington, D.C.

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns." Presented by Ken Lorek at the 2004 Journal of Accounting, Auditing & Finance Conference.

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns" presented at the 2002 American Accounting Association Annual Meetings (2002).

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "Differential Earnings Behavior and the Security Market Assessment of Variation in Seasonal Earnings Patterns" presented at The Florida State University (2002).

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "The Security Market Reaction to Nonseasonal Quarterly Accounting Earnings Data," presented at Indiana University (1992).

Bathke, A. W., Jr., K. S. Lorek and G. L. Willinger, "The Security Market Reaction to Nonseasonal Quarterly Accounting Earnings Data," presented at the University of South Florida (1992).

Bathke, A. W., Jr., "The Impact of Financial Leverage on the Association Between Accounting Earnings Numbers and Security Returns," presented at the Southeast American Accounting Association Meeting (Spring 1985).

Bathke, A. W., Jr., "Capital Structure, Earnings Changes and Stock Price Adjustments," presented at the Midwest American Accounting Association Meeting (Spring 1984).

PhD DISSERTATION COMMITTEES

Chairperson

- 1998 - 2000 Scott, Winifred, "The Impact of Conservation on the Relation Between Accounting Earnings and Security Returns"
- 1993 - 1996 McGregor, Scott, "An Investigation of Various Incentives for Early Adoption of SFAS 106: Employer's Accounting for Postretirement Benefits other than Pensions"

Committee Member

- 2014 - 2015 Mason, Terry. "The Economic Effects of Earnings Pre – and Post-Sox"
- 2012 - 2013 Ke, Ping. "Accrual Quality, Investor Sophistication and Litigation"
- 2005 - 2006 Peterson, Debbie, " The Relationship Between Technical Analysis Generated Returns and the Fama and French Risk Factors as Applied to Individual Securities"
- 2000 - 2001 Suberly, Lynn, "Evidence on Gains Trading by Financial Institutions: Its Incidence, Motivations and the Security Market's Reaction"
- 1996 - 1997 Jones, Jeff, "The Implications of Firms' Investment Opportunity Sets for the Informativeness of Earnings, Cash Flows and Accruals"
- 1996 - 1997 Biggart, Tim, "The Effects of Just-In-Time Inventory System Adoption on Firm Value and Accounting Returns"
- 1992 - 1994 Wilder, Mark, "A Multi-Industry Analysis of Structural Changes and Earnings Forecasts"
- 1992 - 1994 Rambo, Bob, "Variation in the Stock Market Response to Earnings Announcements Associated with the Reporting Firm's Position in its Industry's Earnings Release Queue"
- 1991 - 1992 Branson, Bruce, "An Empirical Examination of Firm-Size Characteristics Associated with Differential Information Environments"
- 1991 - 1992 Chau, Chak-Tong, "Comparing Forecasting Performance in Analytical Procedures: An Integrated Study Using the State Space, Exponential Smoothing and Regression Models"

- 1990 - 1991 Krippel, Greg, "The Economic Determinants of the Time-Series Properties of Quarterly Earnings"
- 1989 - 1990 Dimitry, Ken, "Relative Forecast Ability of Industry-Specific Bivariate Transfer Functions"
- 1988 - 1989 Lukawitz, James, "The Effect of Partial Equity Issues on the Measurement of Financial Leverage"
- 1983 - 1984 Philipich, Kirk, "The Effect of Three Factors on the Accuracy of Univariate Time-Series Forecasts of Quarterly Earnings"

PHD RESEARCH PAPER REQUIREMENT SUPERVISOR

- 2012 – 2013 Co-Supervisor, with Rick Morton, for Terry Mason. Paper was titled “Market Insights and Market Oversights on Firms Whose Earnings Follow a Seasonal Random Walk Process.”

COURSES TAUGHT

Seminar in Financial Accounting Theory
Seminar in Accounting Theory and Standard Setting
Seminar in Empirical Financial Accounting Research
Financial Accounting and Reporting I
Financial Accounting and Reporting II
Intermediate Financial Accounting II
Intermediate Financial Accounting I
Intermediate Financial Accounting Theory
Advanced Financial Accounting I
Cost Accounting II
Cost Accounting I
Cost Accounting
Cost Accounting and Analysis for Business Decisions
Principles of Accounting II
Principles of Accounting I

SERVICE

Department Level

1992 - Present	Chairperson, Undergraduate Scholarship and Awards Committee
2016	Member, Promotion and Tenure Committee
1996 - 2007	Director, Ph.D. Program
2008 - 2018	Member, Ph.D. Program Committee
1995 – 1996	
1987 - 1991	
1996 - 2007	Chairperson, Ph.D. Program Committee
2012	Chair, Promotion and Tenure Committee
2009	Chair, Promotion and Tenure Committee
2008	Member, Promotion and Tenure Committee
2006	
1988 – 2004	
1998 – 2016	Member, Professional Advisory Board Committee
1991 - 1997	Advisor, Upper Level Studies
1996 - 1998	Member, Accreditation Committee
1995 - 1996	Member, Policy Committee
1987 - 1988	
1995	Member, Teaching Incentive Program Awards Committee
1994	Chairperson, Teaching Incentive Program Awards Committee
1991 - 1992	Member, Undergraduate Scholarship and Awards Committee
1989 - 1990	
1985 - 1987	
2018 - Present	Member, Curriculum Committee
1991 – 2003	
1987 - 1997	Member, Faculty Recruiting Committee

ALLEN W. BATHKE, JR.

Page 10

1985 - 1987	Member, Master's Admissions and Scholarship Committee
1983 - 1984	Member, Doctoral Subcommittee on Coursework Restructuring
1982 - 1984	Coordinator for Intermediate Financial Accounting II

College Level

2009 - Present 2007 1993-1995	Member, Undergraduate Scholarship Committee and Awards Committee
2016 – present	Faculty and Staff Awards Committee
2017 – 2017	Teaching Awards Committee
2009 - 2012	Member, Undergraduate Policy Committee
2012 2009 - 2010	Member, Promotion and Tenure Committee
1995 - 2007	Member, Ph.D. Program Committee
1995 - 1997	Chair of the Faculty
1995 - 1997	Member, Executive Committee
1996 - 1997	Member, Accreditation Committee
2005 - 2007 1993 - 1997	Faculty Senate
1994	Member, Teaching Incentive Program Awards Committee
1985 - 1993 1985 - 1991	Member, Undergraduate Admissions Appeals Committee Advisor, Lower Division Studies
1990	Member, Research Grant Committee
1982 - 1984	Member, Undergraduate Policy Committee

University Level

2006 - 2012	Member, Undergraduate Policy Committee
2000 - 2007	Member, Advisory Council for Innovative Instruction
2009 - Present	Member, Calendar Committee
1997	COFRS Reviewer
1996 - 1999	Member, Library Committee
1996	Member, Campus Community Campaign Council
1992 - 1996 1986 - 1987	Member, Convocation Committee
1993 - 2001	Faculty Advisor, Pi Beta Phi Sorority

Profession

Editorial Board	<i>Advances in Accounting</i>
Occasional Reviewer	<i>Issues in Accounting Education</i>
Occasional Reviewer	<i>The Accounting Review</i>
Occasional Reviewer	<i>The International Journal of Forecasting</i>
Occasional Reviewer	<i>Financial Management</i>
Occasional Reviewer	<i>Quarterly Journal of Business and Economics</i>
Occasional Reviewer	Various American Accounting Association Conferences
Member	American Accounting Association Membership Committee (1984-1986)