

Douglas E. Smith, DBA  
P.O. Box 13938  
Tallahassee, Florida 32317  
(850) 321-5731 (Res.)  
desmith@fsu.edu

2005 to Present  
Florida State University  
Faculty-Department of Finance

1991 to 2005  
Smith Financial Group  
Registered Investment Advisor/Securities Principal

- Money Manager to High Net-Worth      Leading Marketing innovator
  - Award Winning      Radio/T.V. Personality

Office in South Florida

1981-1991  
Institutional Fixed-income Bond Trader for Dean Whitter Reynolds, and Printon Kane Government Securities; Offices in South Florida

- Top echelon of Fixed-Income Traders      Fortune 500 Clientele
- Full Buy/Sell Responsibility      Sophisticated Mathematical Presentations

1976-1981  
Owner/Entrepreneur of an FHA/VA approved home mortgage lender, and, an accompanying Title Insurance company. D.E. Smith Mortgage/Eastern Title.

- Correspondent Lender      Staff to process/close
- Warehouse lines to major lenders      Servicing Released

Two offices in Broward County, Florida

1974-1976  
Mortgage solicitor for Florida's largest mortgage banking firm, Stockton, Whatley, and Davin headquartered in Jacksonville, Florida.

- Top Producer      Award winning
- New/Existing construction      FNMA approved underwriter

Branch located in Ft. Lauderdale, Fla.

Education/Licensure/Personal:

Western Michigan University (1971) BBA degree

Nova Southeastern University (1996) MBA (Finance)

Nova Southeastern University (2001) DBA (Finance)

Chartered Financial Analysts Association (CFA) Level II

NASD Series: 3, 7, 24, 63

State of Florida (Insurance): Life, Health, Variable Annuity

Direct and Extensive Radio, Television, Large Audience Public Speaking.

Active with windsurfing (National Competition)

Black Belt in Karate (Shotokan) since 1976.

Born in Grand Rapids, Michigan in 1948.

Publications/Associations/Honors

Barron's, 1992 February. Market Watch/Smith Financial Forecast.

National Underwriter, 2000 April 10. "Qualified Plan Markets"

Dissertation: "Performance Persistency, A Study using Open and Closed-end Mutual Funds. 2000, April

Association for Investment Management & Research

National Association of Securities Dealers

Financial Management Association

Sigma Beta Delta International Honor Society

## Service to Florida State University

Member of the Department Undergraduate Curriculum Committee  
Member of the Department Undergraduate Policy Committee  
Faculty Advisor for the FMA Student Association  
Member of the Department Online MBA Program Committee

## Professional Qualifications

1) WSBR Radio

Guest Host/Brennan Financial

June 6, 2011

2) WSBR Radio

Guest Host/Brennan Financial

December 21, 2011

3) GreenChem

Commodity/Strategic Consultant

January 9, 2012 - Present

4) JetBlue Airlines

Airline Pilots Group

Qualified Plan/Financial Consultant

April 1, 2006 - Present

5) Jade Pig Ventures

Financial Markets/Investments Consultant

January 1, 2005 – Present

6) Member NAIFA

National Association of Insurance & Financial Advisors

June 1, 2001 – Present

Doctoral Course Teaching:

Capella University  
Online Courses

1) BMGT 8234  
Investment and Portfolio Management  
January 2015 through Present

2) BMGT 8236  
Global Financial Analysis  
January 2015 through Present

3) BMGT 8238  
Derivatives Evaluation  
January 2015 through Present

Capella University  
Online Dissertation Chair/Committee Assignments (as of 9/1/17):

Dissertation Committee Chair for:

Williams, Melonie

Sukhdeo, David

Faucher, Leonard

Sieh, Alfred

Huell, Anika

Gudina, Dula

Jones, Leslie

Jones, Teresa

Edwards, Kathy

Ifeadi, Victor

Nanton, Keithroy

Victoria, Megan

Dissertation Committee Member Only (as of 9/1/17):

Bateman, Kenneth

Sollfrey, Robert

Norville, Mark

Nesbitt, Shelia

Jallah, Willie

Tennant, Henry